

RULES OF STATE BOARD OF HEALTH
BUREAU OF ENVIRONMENTAL SERVICES
DIVISION OF
COMMUNITY ENVIRONMENTAL PROTECTION
CHAPTER 420-3-5
SOLID WASTE COLLECTION AND TRANSPORTATION



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**ALABAMA DEPARTMENT OF PUBLIC HEALTH STATE BOARD OF HEALTH
ADMINISTRATIVE CODE**

**CHAPTER 420-3-5
SOLID WASTE COLLECTION AND TRANSPORTATION**

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420-3-5-.01 Purpose.

The purpose of these Rules is to regulate the permitting of certain collectors, transporters, and their equipment; the permitting of certain transfer stations; the issuance of Certificates of Exception; the disclosure of certain records; the storage of solid waste containing garbage; and the abatement of public health nuisances created by unauthorized dumps.

Authors: Phyllis C. Mardis

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1, et seq., 22-40-1, et seq.

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420-3-5-.02 General.

As used in these Rules, words in the masculine gender also include the feminine and neuter genders, words in the singular include the plural, and words in the plural include the singular.

Each person who generates solid waste, in addition to adhering to all local ordinances, resolutions, laws, or rules pertaining to solid waste, shall provide for the effective and nuisance-free handling of his own solid waste in accordance with the requirements of these Rules.

(1) The handling and disposal of solid waste shall be accomplished in a manner that will prevent the creation of public health nuisances, insanitary conditions, or health hazards, and that complies with the requirements of these Rules.

(2) In determining whether a solid waste or the management thereof, constitutes a nuisance or an endangerment to public health, the Board shall consider the quantity, composition, and location of the solid waste, the presence of, or the potential for, vermin or vectors, and other factors which would warrant special concern.

(3) The generator is responsible for managing solid waste pursuant to these Rules until such time as the waste is collected by an approved collector, or deposited at an approved handling or disposal facility. If such waste is spilled, leaked, or scattered by animals, container failure, wind or otherwise, or deposited at an unapproved site, it shall be the responsibility of the generator to promptly retrieve all waste and ensure its disposal to the satisfaction of the LHO. At the time waste is collected by a permitted collector or deposited at an approved handling or disposal facility, responsibility for management of the waste shall pass to the collector, or facility owner or operator, as appropriate.

(4) Plans, specifications, operational procedures, reports, documentation, and other technical data shall be prepared by a person with technical expertise in the field of concern who meets professional standards required by state law.

(5) Applications for permits, variances, exceptions, exemptions, and other required documents shall be signed by the applicant, or a person authorized to legally bind the applicant, and such signature shall be notarized.

(6) All facilities regulated under these Rules shall be subject to inspection by the Board or LHO, without prior notification.

Authors: Phyllis C. Mardis

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

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420-3-5-.03 Definitions.

For the purpose of these Rules, the following words and phrases shall have the meanings ascribed to them in these Rules and as ascribed by law unless the context of the Rules indicate differently.

(1) **Act** -- the "Solid Wastes and Recyclable Materials Management Act," Act No. 151, Regular Session 2008 (formerly the "Solid Waste Disposal Act," Act No. 771 Regular Session, 1969, as amended by Act No. 2247 Regular Session, 1971) Code of Alabama 1975, Section 22-27-1.

(2) **ADEM** -- the Alabama Department of Environmental Management (the Department) as established by Code of Ala. 1975, Section 22-22A-2.

(3) **Approved** -- to be authorized, certified, or permitted by, or to meet the standards of, a regulatory authority.

(4) **Baling** -- a volume reduction technique whereby solid waste containing garbage is compressed into bales.

(5) **Base Flood** -- a flood that has a 1 percent or greater chance of recurring in any year, or a flood of a magnitude equaled or exceeded once in 100 years on the average.

(6) **Board** -- the State Board of Health as constituted by Code of Ala. 1975, Section 22-2-1, and including the State Committee of Public Health or State Health Officer when acting as the Board.

(7) **Business Concern** -- any corporation, association, firm, partnership, trust, joint venture, or other form of commercial organization.

(8) **Certificate of Exception** -- a permit issued by the Board to an applicant to exempt the applicant from participation in the mandatory collection program and to transport solid waste generated at his household to an approved solid waste handling or disposal facility.

(9) **Closure** -- the act of terminating all operations at a solid waste facility regulated under these Rules or rendering such facility suitable for other uses, pursuant to a plan approved by the LHO.

(10) **Collect** -- to gather solid waste containing garbage from one or more persons generating such waste.

(11) **Collection Facility** -- lands, buildings, and other appurtenances used to house or maintain vehicles or equipment used for the collection or transportation of solid waste containing garbage.

(12) **Collector** -- a person who collects and transports solid waste containing garbage or scrap tires, generated by others for monetary compensation or other considerations.

(13) **Covenant(s) Running With The Land** -- a written agreement between the property owner and another which runs with the land and which cannot be separated from the land and which is intended to bind successors in title. Such agreement is recorded in the Office of the Probate Judge or other records office.

(14) **Disposal** -- the discharge, deposit, injection, dumping, spilling, leaking, or placing of any solid waste into or on any land or water, which allows the waste or any constituent of the waste to enter the environment or to be emitted into the air or discharged into any waters, including, but not limited to, groundwater.

(15) **Disposal Facility** -- the location of a disposal site where any ultimate disposal of solid waste occurs.

(16) **Elements** -- weather conditions.

(17) **Environmental Laws** -- any laws or regulations which govern the management activities of solid, hazardous, infectious, liquid, or gaseous waste; protect or preserve natural resources such as air, land, water, and wildlife; designed to prevent fraud, deceit, or misrepresentation with respect to notice, reporting, monitoring, recording, licensing, approval, or pre-approval requirements; designed to protect public health or the environment; designed to prevent or abate the existence of a public or private nuisance which adversely affected public health or the environment; or designed to prevent other intentional, reckless, or negligent acts or omissions that adversely affect public health and the environment.

(18) **Explosive Material or Debris** -- any material whose nature or character is to violently release mechanical or chemical energy, including any residual materials or by-products of the manufacture of such material which exhibit the same nature or character.

(19) **Facility (Solid Waste Facility)** -- all contiguous land, structures, and other appurtenances used for the handling or disposal of solid waste.

(20) **Flood Prone Area** -- any area which is normally subject to a flood during some portion of the year. This definition refers to areas that are subject to flooding as observed or as indicated by soil characteristics as defined in the standards of the National Soils Handbook, United States Department of Agriculture.

(21) **Floodplain** -- the lowland and relatively flat areas adjoining inland and coastal waters that are inundated by the 100-year flood, including flood prone areas of offshore islands.

(22) **Full Coverage Collection** -- a local solid waste collection program that is offered to every household or person within the collection jurisdiction, with the requirement that such household or person participate in the service unless otherwise excepted or excluded by the LHO or the Department.

(23) **Garbage** -- putrescible animal and vegetable waste resulting from the handling, preparation, cooking, and consumption of food, including waste from markets, storage facilities, handling and sale of produce and other food products, except materials that are serviced by garbage grinders and handled as household sewage. Also excluded are inedible animal by-products, virgin cooking grease, and waste cooking grease.

(24) **Generate** -- the act or process of producing solid waste containing garbage. Such waste shall be considered to be generated at the point that such materials are first discarded or collected, regardless of any subsequent transportation, transfer, reclamation, or other handling.

(25) **Generator** -- any person who produces solid waste containing garbage.

(26) **Groundwater** -- water below the land surface in the zone of saturation.

(27) **Handling** -- the systematic control of solid waste containing garbage including the collection, storage, processing, or transportation of such waste.

(28) **Hazardous Waste** -- shall be defined in the same manner as that term is defined in the Alabama Hazardous Wastes Management and Minimization Act, Code of Ala. 1975, Section 22-30-1 and the regulations promulgated thereunder.

(29) **Household** -- the people in a family or other group that are living together in one house.

(30) **Imported Solid Waste** -- solid waste containing garbage generated outside of the state of Alabama and transported into the state for handling or disposal.

(31) **Infectious Waste** -- shall be defined in the same manner as that term is defined in the Alabama Department of Environmental Management's Administrative Code, Division 335-13.

(32) **Key Employee** -- any person employed by an applicant and empowered to make operational or financial management decisions with respect to solid, hazardous, or infectious waste management operations. The term does not include employees primarily engaged in the physical or mechanical handling or disposal of these wastes.

(33) **Leachate** -- any liquid that drains from stockpiled waste material and may have dissolved environmentally harmful substances that may then enter the environment through surface or groundwater or soil.

(34) **Local Health Department (LHD)** -- a county health department.

(35) **Local Health Officer (LHO)** -- the County, District, or Area Health Officer of a Local Health Department elected in accordance with Section 22-3-2(5)-(6), Code of Ala. 1975, or the designee thereof, as provided by law.

(36) **Permit** -- a formal written authorization, license, or equivalent control document issued by the appropriate regulatory authority to implement the requirements of an environmental regulation.

(37) **Person** -- any individual, household, trust, firm, joint stock company, corporation (including a government corporation), partnership, association, state, municipality, commission, political subdivision of the state, any interstate body, or any other private or public legal entity.

(38) **Processing** -- the act of shredding, grinding, sorting, baling, reclaiming, recycling, or otherwise altering solid waste containing garbage.

(39) **Processing Facility** -- any facility or site employed in the handling of solid waste prior to its arrival at an approved disposal site, including, but not limited to, tire processors, material recovery facilities and sorters, reclamation centers, shredders and grinders, and volume reducers.

(40) **Protected Natural Resource** -- coastal sand dune systems, coastal wetlands, significant wildlife habitats, fragile mountain areas, freshwater wetlands, great ponds or rivers, and streams or brooks as defined under Title 38, Article 5A, Section 480-A-480-S, entitled "The Protection of Natural Resources."

(41) **Public Health Nuisance** -- those things, conditions, and acts enumerated in the Code of Ala. 1975, Section 22-10-1.

(42) **Putrescible** -- solid waste which is capable of being decomposed by micro-organisms with sufficient rapidity to produce foul-smelling matter and create nuisances.

(43) **Registered Engineer** -- a person registered as a professional engineer with the State of Alabama Board of Licensure for Professional Engineers and Land Surveyors, practicing under the Rules of Professional Conduct (Code of Ethics).

(44) **Registered Land Surveyor** -- a person registered as a professional land surveyor with the State of Alabama Board of Licensure for Professional Engineers and Land Surveyors, practicing under the Rules of Professional Conduct (Code of Ethics).

(45) **Residence** -- a structure used as a home.

(46) **Rubbish** -- nonputrescible solid waste, excluding ashes, consisting of both combustible and noncombustible waste. Combustible rubbish includes, but is not limited to, paper, rags, cartons, wood, furniture, rubber, plastics, yard trimmings, leaves, and similar materials. Non-combustible rubbish includes, but is not limited to, glass, crockery, metal cans, metal furniture, and like materials which will not burn at ordinary incinerator temperatures, not less than 1600 degrees F.

(47) **Scavenging** -- the unauthorized or uncontrolled searching through, removal, or reclamation of waste materials from a solid waste handling or disposal facility.

(48) **Shared Service** -- an activity that qualifies a household or person to be excluded from participating in a full coverage collection program, provided that such household or person complies with the requirements set forth in Rule 420-3-5-.06(2)(b) of these Rules.

(49) **Shredding** -- the process of reducing the particle size of solid waste containing garbage.

(50) **Significant Interest** -- all persons or business concerns, holding more than 5 percent of equity or debt liability of a business, unless the debt liability is held by a charter lending institution.

(51) **Sinkhole** -- a depression in the topography without a surface outlet for drainage from the low point. Sinkholes are common in areas containing limestone and generally result from the collapse of solution cavities.

(52) **Social Security Benefits Exemption** -- permission to participate in a solid waste collection service without payment of a fee granted by the county commission or municipal governing body to households whose sole source of income is social security benefits.

(53) **Solid Waste** -- all putrescible and nonputrescible discarded materials, except household sewage, grease, and livestock and poultry wastes, including, but not limited to, garbage, rubbish, offal, abandoned automobiles, and such industrial wastes as are not controlled by other agencies. Recovered materials, uncontaminated concrete, reinforced concrete, soil, brick, rock, and similar materials are not solid waste under this definition and these Rules.

(54) **State** -- the state of Alabama.

(55) **State Health Department** -- the Alabama Department of Public Health as defined by Code of Ala. 1975, Section 22-2-1.

(56) **State Health Officer** -- the Health Officer for the State of Alabama as set out in Code of Ala. 1975, Section 22-2-8, or the designee thereof, as provided by law.

(57) **Surface Water** -- natural water that has not penetrated much below the surface of the ground such as in a stream, river, lake, wetland, or ocean that are located wholly or in part within the state, including the Gulf of Mexico.

(58) **Transfer Station** -- a facility, the primary purpose of which is to store or hold solid waste for transport to a processing or disposal facility. For purposes of these Rules, a transfer station does not include roadside containers, dumpsters or roll-off compactors when they are being used to fulfill the requirements of the Code of Ala. 1975, Section 22-27- 3(a)(1).

(59) **Transportation** -- the movement of solid waste containing garbage by rail, air, water, or overland by vehicle from the point of generation to a handling or disposal facility or from one handling or disposal facility to another.

(60) **Transportation Unit** -- the smallest containment housing that collectively makes up the whole of a shipment, into which solid waste containing garbage is placed for transporting by rail, air, water, or overland by vehicle. This includes, but is not limited to, a single trailer load of compacted, loose-filled, or baled solid waste containing garbage, a container into which solid waste containing garbage is placed in compacted, loose-filled or baled form; a railroad car filled with solid waste containing garbage that is placed in compacted, loose-filled or baled form; or a barge filled with solid waste containing garbage that is in compacted, loose-filled, or baled form.

(61) **Transporter** -- a person or public or private entity, engaged in the off-site transportation of solid waste containing garbage by rail, air, water, or overland by vehicle, but does not include a person transporting his own solid waste pursuant to a Certificate of Exception issued by the appropriate regulatory authority.

(62) **Unauthorized Dump** -- any collection of solid wastes either dumped or caused to be dumped or placed on any public or private property, whether or not regularly used, and not having a permit from ADEM to do so. Abandoned automobiles, large appliances, or similar large items of solid waste shall be considered as forming an unauthorized dump within the meaning of these regulations. The careless littering of a relatively few, smaller individual items such as tires, bottles, cans, and the like shall not be considered an unauthorized dump, unless the accumulation of the solid waste poses a threat to human health or the environment. An unauthorized dump shall also mean any solid waste disposal site which does not meet the regulatory provisions of the Solid Wastes and Recyclable Materials Management Act.

(63) **Variance** -- an act of varying from what is required and what is actually taking place; where the requirements are being met in an alternative manner; or authorization to do some act contrary to the usual rule.

(64) **Vector** -- an organism that is capable of carrying or transmitting a human pathogen, or human disease-causing agent, from one host to another.

(65) **Vermin** -- any of the various small animals or insects that are destructive, annoying, or injurious to health, including, but not limited to, flies, cockroaches, rats, foxes, and weasels.

(66) **Waiver** -- to waive or set aside a requirement.

(67) **Waters** -- all waters of any river, stream, watercourse, pond, lake, coastal, or ground or surface water, wholly or partially within the state, natural or artificial.

(68) **Water Table Elevation** -- the upper limit of the portion of the ground wholly saturated with water.

(69) **Wetland** -- those areas as defined by 40 CFR§ 232.2(r), entitled "Protection of Environment."

Authors: Phyllis C. Mardis, Thad Pittman, Karen Bishop, Dillon Bullard, Johnny Burell

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

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420-3-5-.04 Other Approvals Not Implied.

Approval of any regulated solid waste operation or facility by the LHD or the State Health Department, when required, does not constitute or imply approval by any county, municipality, or other agency having planning, zoning, or other legal jurisdiction. Similarly, the approval of development plans by other agencies does not negate the required approval by the State Health Department for regulated solid waste operations or facilities in accordance with this Chapter.

Authors: Dillon Bullard

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

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420-3-5-.05 Limitations.

Nothing in these Rules shall be construed to limit the authority of municipal and county governments, or solid waste authorities, to adopt more stringent solid waste management requirements.

Authors: Karen Bishop

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

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420-3-5-.06 Certificate of Exception to Transport Household Solid Waste.

These Rules specify the method of obtaining a Certificate of Exception for any household who meets the minimum requirements and who chooses to dispose of his household solid waste in an approved manner or transport his own household solid waste to an approved handling or disposal facility.

(1) **General.** A person, household, or any property owner may store, haul, and dispose of his or her own solid wastes on his or her land or otherwise, provided such storage, haulage, or disposal is accomplished pursuant to a Certificate of Exception. In order to obtain a Certificate of Exception, an application, an application fee, and a plan must be filed with the LHO) or his or her designee.

(a) An application for a Certificate of Exception shall be made on forms provided by the Board.

(b) The certificate holder must abate any public health nuisance resulting from the certificate holder's storage or transportation of solid waste.

(c) The LHD shall not issue Certificates of Exception without prior written approval from the local governing body.

(d) The LHO is authorized to deny an application for a Certificate of Exception if such exception violates any federal, state, or local law or ordinances, or any rules promulgated by any other federal or state agency, or other states.

(e) All certificates shall be valid for 1 year from the date of issue and are renewable annually.

(2) **Transportation Exception.** No household shall transport its own solid waste for handling or disposal until such household has applied for and received a Certificate of Exception from the LHO. The LHO may issue the exception for households but may not issue the exception

for businesses or industries.

(a) The applicant shall meet the following criteria:

1. The application shall be made on forms provided by the Board.
2. The application shall be accompanied by a written plan for the transportation of the household's solid waste, including, but not limited to, the method and frequency of transportation, the name and location of the facility to be used, and written verification that such facility agrees to accept the applicant's waste.

(b) Solid waste containing garbage shall be transported at least weekly and shall be deposited at a facility approved to receive such waste.

(c) Solid waste shall be stored and transported in a manner that will not create a public health nuisance and will prevent the leakage, spilling, or escape of solid, semi-solid, or liquid wastes.

(d) The certificate holder shall promptly retrieve or remove any waste materials that leaked, spilled, or escaped during storage or transportation and deposit such waste at an approved facility.

(e) The certificate holder shall obtain a receipt for each deposit of solid waste.

1. Said receipts shall be maintained for at least 2 years from the date of issue and shall be made available to the LHO upon request.

2. Each receipt for deposit of solid waste shall contain the name and location of the facility used and the date of deposit.

Authors: Phyllis C. Mardis, Dillon Bullard

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

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420-3-5-.07 Shared Service.

A person desiring to be excluded from participating in a full coverage service program because of shared service shall identify himself to the LHO and verify compliance with the requirements of 420-3-5-.06(2)(b).

(1) Exclusion from a full coverage collection program is renewable annually; however, such exclusion is non-transferable from one person to another. In addition, if the

collector discontinues service, and is not replaced by another collector who agrees to collect the combined waste, the sharing activity is no longer valid.

(2) Persons who request to be excluded from participating in a full coverage collection program due to shared service shall provide documentation to the LHO that their activity satisfies criteria used to determine if an activity is shared service.

(a) Said person did mutually agree with other person(s) to combine their solid waste for collection, and began such activity at least 6 months prior to the implementation of the full coverage collection program.

(b) All persons under such agreement are located within the same governing body's collection jurisdiction.

(c) All persons under such agreement are sharing in the cost of collecting household solid waste pursuant to the agreement between them.

(d) Collection of the solid waste is accomplished pursuant to an agreement with an approved collector and in a manner approved by the LHO.

(e) The applicant must provide documentation that the collector is aware that the service is being shared, and said collector agrees to collect the combined solid waste for the single price charged.

(f) The solid waste being combined is generated on properties which are located adjacent to each other.

Authors: Phyllis C. Mardis

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

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420-3-5-.08 Social Security Benefits Exemption.

Households whose sole source of income is social security benefits may seek an exemption from the payment of solid waste collection fees from the county commission or municipal governing body by submitting proof of income to the LHO. The applicants shall verify income through a notarized and sworn statement, and attach any relevant supporting documents. The LHO or his designee shall forward the statement and any attachments to the county solid waste officer or municipal governing body for approval of the exemption. The exemption shall apply only so long as the household's sole source of income is social security and shall be requested each year in which the exemption is desired. Such exemptions may only be granted in those counties and municipalities that have adopted a mandatory public participation program in

accordance with the Code of Ala. 1975, Section 22-27-3(a)(2)and(3).

(1) In addition to a social security exemption, local county commissions may grant an exemption to households whose total income does not exceed 75 percent of the federal poverty level.

(2) Households granted the exemption from the county commission or municipal governing body shall participate in the local solid waste collection service and shall not be required to pay a fee for such service during the duration of the exemption.

(3) Application for an exemption shall be made on forms provided by the Board.

Author: Phyllis C. Mardis, Dillon Bullard

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

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420-3-5-.09 Unauthorized Dumps.

The creation, contribution to, or operation of an unauthorized dump is a public health nuisance and shall be abated in accordance with the regulations set forth by ADEM pursuant to the Code of Ala. 1975, Section 22-27-10. The LHO may also pursue abatement action pursuant to the Code of Ala. 1975, Section 22-10-2 et seq.

Persons who create, contribute to, or operate an unauthorized dump or who own the property upon which an unauthorized dump is located shall be held responsible for any abatement action deemed necessary by the LHO, including, but not limited to, the removal of the solid waste to an approved handling or disposal facility.

Authors: Phyllis C. Mardis, Karen Bishop, Dillon Bullard

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

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420-3-5-.10 Solid Waste Storage.

(1) Each generator of solid waste is responsible for providing adequate storage for his or her solid waste in a manner consistent with these Rules and acceptable to the LHO.

(2) All solid waste shall be stored in a manner that does not constitute a public health

nuisance or health hazard or provide food or harborage for vermin or vectors and shall be contained or bundled so as not to result in litter or the contamination of ground or surface water.

(3) Storage systems for solid waste shall include containers of adequate size and strength, and in sufficient numbers, to contain all solid waste that each person generates in the period of time between collections or disposal.

(4) Solid waste containing putrescible materials shall be collected and transported to a handling or disposal facility at a frequency adequate to prevent propagation and attraction of vermin or vectors or the creation of a public health nuisance, but in no case shall any of such waste be stored for more than 1 week prior to being collected for transportation to a handling or disposal facility.

(5) Garbage or rubbish containing garbage shall be stored in:

(a) Rigid containers that are of a size to accommodate the waste generated and that are durable, rust resistant, washable, nonabsorbent, watertight, and vermin proof. The container shall be easy to clean and fixed with close fitting fly-tight lids or covers. The containers shall be constructed in a manner to facilitate handling.

(b) Other types of containers that meet the requirements of this Rule or are acceptable to the LHO.

(6) Rubbish shall be stored in durable containers. Rubbish too large or otherwise not suitable for storage in containers shall be stored in a nuisance-free manner consistent with requirements of these Rules.

(7) The site where solid waste storage containers are located shall be readily accessible to persons depositing or collecting such waste, including during periods of inclement weather.

(8) The site where solid waste storage containers are located shall be adequately maintained in a sanitary manner which shall include, but not be limited to, the following:

(a) Regular cleaning of the site and containers.

(b) The prompt remediation of spills or leakages of solid, semi-solid, or liquid waste.

(c) The control of vegetation on and around the site.

(d) Vermin and vector control at the site and in the containers.

(9) Sites where roadside containers are used as an integral part of a local solid waste collection service shall be fenced in a manner that will prevent windblown litter and public health nuisances. The area to be enclosed should be large enough to allow servicing of the container. Openings or gates shall be designed, constructed, and maintained in a manner

acceptable to the LHO.

Author: Phyllis C. Mardis, Dillon Bullard

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

History: Adopted June 15, 1988; Filed June 20, 1988; effective July 25, 1988. **Emergency Repeal and New Rule:** Filed May 20, 1994; effective May 27, 1994. **Repealed and New Rule:** Filed October 20, 1994; effective November 24, 1994. **Emergency Repeal and New Rule:** Filed November 20, 1997. **Repealed and Replaced:** Filed January 21, 1998; effective February 25, 1998. **Repealed and New Rule:** Filed April 20, 2017; effective June 4, 2017.

420-3-5-.11 Collection and Transportation.

Any person, whether public or private, engaging in the collection or transportation of solid waste containing garbage, shall be subject to the requirements of this Rule.

(1) **Permitting.** No person shall collect and transport solid waste containing garbage, until he has applied for and received a Solid Waste Collector permit from the LHO. Persons who transport solid waste containing garbage but are not engaged in the door to door collection of solid waste containing garbage generated within the state shall apply for and receive a Solid Waste Transporter Permit in lieu of a Solid Waste Collector Permit from the LHO before beginning such activity.

(a) The issuance of a Solid Waste Collector or Solid Waste Transporter Permit authorizes the permit holder to collect or transport solid waste containing garbage within a set boundary and does not authorize the collector or transporter to dispose of such waste outside of designated jurisdictions or permitted boundaries.

(b) The application for a permit or approval to collect or transport solid waste containing garbage shall be made on forms provided by the Board, and be accompanied by the following:

1. Collection or transportation routes and schedules for the affected county.
2. The method used for flushing, cleaning, and maintaining vehicles and equipment.
3. Documentation that the method for disposal of wastewater, resulting from flushing and cleaning of vehicles and equipment, has been permitted or approved by the Department, or documentation that such method does not require approval from the Department.
4. A plan for the prompt clean-up of spills.
5. A plan for collection or transportation during periods of equipment failure to include the availability and use of standby equipment through contract(s) or methods acceptable to the LHO.
6. Evidence of the posting of a performance bond or other assurance as may be

satisfactory to the local governing body.

7. A site plan of the collection facility to be used to house, maintain, or clean vehicles.

(c) A Solid Waste Collector or Solid Waste Transporter Permit shall be obtained from the LHO in each county where said collector or transporter operates a collection facility. Permits are renewable annually by October 1.

1. To operate in other counties in addition to (1)(c) above, the collector or transporter shall obtain approval from, and register with, the affected county health department(s) by submitting a written request accompanied by a copy of the permit obtained in (1)(c) above and the information required by subparagraph (1)(b) of this Rule.

2. Upon renewal of the permit by the permitting county health department, the collector or transporter shall furnish copies of the renewed permit within 10 working days to the health department of each county in which said collector or transporter is registered, in order to continue operation in that county.

3. The LHO is not obligated to allow the registration of a solid waste collector or transporter with a LHD even though such collector or transporter may have a valid permit from, or is registered with, other county health departments.

4. Any solid waste collector or transporter operating in the state, whose collection facility is located outside the state, shall apply for a permit from a county health department designated by the Board. The out-of-state transporter shall make known to the LHD a fixed location where its trucks can be made available for inspection by the LHD during reasonable business hours.

(2) **Operations.** The collection of solid waste containing garbage shall not cause a public health nuisance, and shall be accomplished pursuant to the following requirements:

(a) Solid waste containing garbage shall be collected at the frequency specified in contracts or agreements entered into, but no less frequently than once per week.

(b) All spills of solid, semi-solid, or liquid waste resulting from, or which occur during, the collection operation shall be promptly abated by the collector to the satisfaction of the LHO.

(c) All solid waste containing garbage shall be collected and transported in vehicles approved by the LHO, and deposited at an approved facility.

(d) Solid waste containing garbage shall not be unloaded from any collection vehicle except for transferring to another vehicle, unloading at an approved facility, or due to emergency situations. When a vehicle is unloaded due to an emergency situation, the waste shall be promptly relocated and removed, and the site shall be thoroughly cleaned of all residue resulting

from the unloading after the emergency no longer exists.

(e) Solid waste containing garbage shall not be stored after collection in a collection vehicle or other equipment for more than 24 hours before disposal or delivery to an approved facility.

(f) Collection vehicles shall not be parked overnight in an area that is predominantly residential, unless one of the following requirements is met.

1. The vehicle has been emptied of all waste and has been thoroughly flushed and cleaned.

2. The vehicle is parked at an approved collection facility.

(g) Solid waste collectors and solid waste transporters shall keep manifests detailing the place, date, and times of collection of solid waste containing garbage from the generator or other places, excluding individual households, for 2 weeks after the date of such collection or transportation occurs. Summaries of the above information, the name of the person responsible for the waste collection and transportation, and receipts verifying the name and location of facilities at which waste is deposited, including the date and time of each deposit and the weight of the waste deposited shall be retained by the collector and transporter for 1 year following the activity.

(h) Public access to collection facilities shall be minimized.

(i) Wastewater resulting from flushing or cleaning of the facility, equipment, or collection or transportation vehicles shall be disposed of by methods as approved under the permit, whether the process is performed on the collection facility grounds or at other locations.

(j) Collection facilities shall be operated, maintained, and closed in a sanitary manner including, but not limited to, control of vegetation, windblown litter, and the harborage of vermin and vectors.

(k) Solid waste containing garbage collected or transported pursuant to a permit issued under these Rules, shall at no time contain any regulated hazardous waste, infectious waste, or explosive material or debris.

(3) **Equipment.** All vehicles and equipment used for the collection or transportation of solid waste containing garbage shall be constructed, operated, and maintained so as to prevent the creation of a public health nuisance and to meet the requirements of these Rules.

(a) All vehicles used for the collection or transportation of solid waste containing garbage shall be approved by the LHO before such use and shall be inspected at least annually, including at the time permits are issued or renewed. Vehicles that fail to meet minimum standards of the State Health Department during inspection shall not be approved for collection or transportation of solid waste containing garbage.

(b) A vehicle shall not be used for the collection or transportation of solid waste containing garbage unless a valid and current State Health Department decal is displayed in a place to be determined by the Board. Such decals shall be issued only for those vehicles that pass State Health Department inspections based on standards as set forth in subparagraph (3)(d) of this Rule.

(c) Vehicles used for the collection or transportation of solid waste containing garbage shall be constructed, operated, and maintained in a manner to prevent the escape of solid, semi-solid, or liquid waste and shall adhere to the following standards:

1. All surfaces that come in contact with waste shall be smooth, non-absorbent, and washable.
2. All drain holes and valves shall be properly closed, plugged, or sealed.
3. The vehicle shall be equipped with seals, gaskets, or other devices necessary to prevent the escape of liquids. Such seals, gaskets, and other such devices shall be maintained and replaced as needed.
4. The truck body, waste holding area, and hopper shall be free of holes, cracks, rusting, corrosion, or other evidence of damage or weakness that may allow the escape of solid, semi-solid, or liquid waste.
5. The waste holding area, including the hopper and around the packer blade, if so equipped, shall be cleaned regularly of debris to prevent the accumulation of vectors or litter.
6. The sides, bottom, top, and cab of the vehicle shall be free of loose litter to prevent its escape to the environment.
7. The vehicle shall be serviced, repaired, and cleaned on a regular basis so as to maintain sanitary conditions, to preserve the integrity of the packer door seal, to prevent the accumulation of mechanical fluids, dirt, and filth on the vehicle's exterior, and to prevent contamination of the environment by such fluids.
8. Effective control of windblown litter or other waste shall be maintained through the use of adequate restraints where necessary, including tarps or other devices, while the vehicle is in operation or contains solid waste containing garbage. Vehicles not equipped to effectively control wind-blown litter are prohibited.

(d) Containers used for the storage, collection, or transportation of solid waste containing garbage, such as compactors and roll-off containers, shall be managed pursuant to requirements and standards stipulated under Rule 420-3-5-.10.

(4) **Imported Solid Waste.** Any person, whether public or private, who imports solid waste containing garbage shall adhere to the requirements of this Rule.

(a) Persons who import solid waste containing garbage into the state in addition to collecting and transporting solid waste containing garbage generated within the state, shall apply for and receive a Solid Waste Collector Permit from the LHO before beginning such activity.

(b) Persons who import solid waste containing garbage into the state, but do not collect and transport solid waste containing garbage generated therein, shall apply for and receive a Solid Waste Transporter Permit in lieu of a Solid Waste Collector Permit from the LHO before beginning such activity, and shall comply with the requirements of this Rule.

(c) Manifests shall be kept that contain the following information:

1. Identification of the place(s) the solid waste containing garbage was generated (state, county, municipality, etc.).

2. The date the solid waste containing garbage was collected from the point(s) of generation.

3. The identifying numbers or letters of the alphabet assigned to each unit and the number and type of individual units shipped.

4. Names of collectors, transporters, transfer stations, and all others who handled the solid waste containing garbage enroute to the disposal facility.

5. The name, telephone number, and address of the person(s) responsible for the transport and disposal of the solid waste containing garbage.

6. The name and location of the disposal site.

(d) The importer shall provide the LHO with verification that imported solid waste containing garbage was collected or transported in accordance with all rules and regulations of the state from which the waste was generated, collected, or imported.

(e) Persons who import solid waste containing garbage shall post with the Board, financial assurance in an amount not less than \$250,000, in accordance with Code of Ala. 1975, Section 22-27-5(d), with a guarantee that at no time shall any shipment of solid waste containing garbage contain any regulated hazardous waste, infectious waste, or explosive material or debris. The financial assurance shall be in the form of a performance bond, letter of credit, escrow account, or other recognized financial instrument as shall be acceptable to the Board.

Authors: Phyllis C. Mardis, Dillon Bullard

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

History: Adopted June 15, 1988; Filed June 20, 1988; effective July 25, 1988. **Emergency**

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1998. **Repealed and New Rule:** Filed April 20, 2017; effective June 4, 2017.

420-3-5-.12 Transfer Stations.

Transfer stations shall meet all the requirements of these Rules and shall be subject to inspection by the Board or LHO, at least semi-annually, to include inspections performed at the time a permit is issued or renewed. More frequent inspections may be performed if deemed necessary by the LHO.

(1) **Permitting.** No person shall construct, operate, or materially alter or modify, excluding normal maintenance, a transfer station facility until he has applied for and received a Transfer Station Permit, renewable annually on the first day of October, from the LHO.

(a) The application for a permit to establish, materially alter, or operate a transfer station shall be made on forms provided by the Board, which shall be submitted to the LHO and the Board with the following information attached:

1. Legal description of property or deed with any easements or Covenants Running with the Land attached.

2. A letter from each solid waste disposal facility that has agreed to accept waste from the transfer station and a listing of any conditions, including, but not limited to, the types of waste to be received, the types of waste that are prohibited, and the hours that waste will be received.

3. A letter from each solid waste handling or disposal facility that has agreed to accept waste should an emergency diversion become necessary and a listing of any conditions, including, but not limited to, the types of waste to be received, the types of waste that are prohibited, and the hours that waste will be received.

4. A site plan of the structures and utilities on a scale of sufficient detail to include features up to 500 feet beyond the facility boundaries. The site plan shall include:

(i) Lot dimensions/size, with property lines identified.

(ii) Property boundaries and fences.

(iii) The location of existing or proposed structures that will be used in the solid waste handling process to include scales, transfer building and foundation, compactor unit, transfer trailer bay, and storage containers (drawn to scale).

(iv) Surface waters.

(v) The location of sanitary sewer or onsite wastewater treatment system. Attach a letter from the local sanitary sewer authority authorizing discharge of wastewater to their wastewater treatment facility, or a copy of the permit to install an onsite sewage disposal system.

If a holding tank will be used to capture and contain leachate, then also attach a copy of the contract with a company who will pump the tank.

5. A scaled, 1-mile radius area map showing the proposed site buildings and any residences, schools, recreational facilities, wells, caves, and sinkholes. As well as any railroads and state and county maintained streets and roads.

6. A site plan of the facility to include, but not be limited to, the details of the scales, transfer building and foundation, compactor unit, floors and drains, curbing, plumbing, sewer lines and connections, water supply lines, traffic flow, offices, sanitary facilities, fences, roads, parking lots, onsite sewage disposal system, and storage containers.

7. A U.S. Geological Survey topographical map of the area, showing the transfer station boundaries, property boundaries, surface waters, natural and artificial drainage features, wells, base flood plains, protected natural resources, and natural geographic features located within 500 feet of the facility boundaries.

8. A statement that addresses the setback distances from the transfer station buildings to the nearest surface water, well, sinkholes, and caves and the impact that the construction and operating of the transfer station will have on each feature.

9. A statement from the Army Corps of Engineers stating that the construction and operation of the transfer station will not adversely impact a federally protected wetland.

10. Source of water supply.

11. Documents verifying that the control and disposal of wastewater, washdown water, and leachate directly into a public or private sewer system via connection, or indirectly by pumping and hauling, and the control and disposal of stormwater, have been approved by the Department or the LHO, as appropriate; or documents verifying that such approval is not required.

12. An Operation Manual, prepared in accordance with subparagraph (4) of this Rule.

(b) The applicant shall employ the services of a registered engineer to prepare the application.

(c) The application for permit renewal shall be made on forms provided by the Board and shall be accompanied by any attachments which have been revised since the last permit issuance or renewal or any new information concerning compliance with these Rules.

(d) Applicants shall submit a disclosure statement in accordance with subparagraph (6) of this Rule

(2) **Location.** The location of a transfer station shall meet the following siting requirements:

(a) The facility shall be surrounded by buffer zones of the following proportions unless such facility is located in an area zoned industrial or commercial. Measurements will be taken from the tipping floor.

1. One hundred feet from all public roads and other property boundaries.
2. Five hundred feet from the nearest residence, school, recreational park, day care facility, or food service or food handling establishment in existence at the time the application is filed.

(b) Facilities located in urbanized areas or other locales that require the use of a small acreage lot may obtain approval from the Board for a reduced buffer zone, provided operations are enclosed and do not create a public health nuisance or hazard, and all other Environmental Protection Agency requirements for an urban transfer station are adhered to.

(c) The applicant shall employ the services of a registered engineer to determine setback distances between the facility and the nearest well, surface water, sinkhole, or cave necessary to prevent contamination of surface or ground water. For setback distances of less than 500 feet, approval shall be obtained from the Board.

(d) The transfer station, or its construction, shall not be located in, on, over, or result in the destruction or adverse modification of:

1. A protected natural resource.
2. The critical habitat of any endangered or threatened species of plants, fish, or wildlife as identified in 50 CFR Part 17, entitled "Wildlife and Fisheries."

(e) Location of the transfer station shall be adjacent to access roads, which are capable of withstanding anticipated load limits under all weather conditions.

(f) The transfer station shall not be located in areas subject to the base flood, reduce the temporary water storage capacity of a floodplain, or result in washout of solid waste containing garbage.

(g) Transfer stations shall not be sited in areas determined by the Alabama Historical Commission to be archaeological or historically sensitive locations at the time of initial application.

(3) **Design.** The design of a transfer station shall adhere to the following requirements:

(a) The applicant shall employ the services of a registered engineer to design the facility, such that it will not create a source of contamination of ground or surface waters, or create a nuisance or hazard to public health or the environment.

(b) The transfer station shall have sufficient capability to handle, in a nuisance-free manner, all waste unloaded at the facility at all times.

(c) The transfer station shall be enclosed within a chain link fence, or other fence material, with a gate(s) that can be secured, in order to effectively prevent access to the facility while the facility is unattended or not operating, and to contain windblown litter inside the facility boundaries.

(d) Access to and from the transfer station and vehicular flow shall minimize traffic congestion, dust, and noise at all times, including during adverse weather conditions.

(e) Sanitary facilities, including rest rooms and hand wash basins, shall be provided for use by transfer station personnel as is recommended by the Southern Standard Plumbing Code.

(f) Compactors and other equipment shall be cleanable and shall be equipped with drains that are connected to a sanitary sewer system or equivalent.

(g) Waste tipping, loading, and unloading areas shall be roofed and floors shall be constructed of an impervious material, which is readily cleanable. Such surfaces shall be equipped with drains that are connected to a sanitary sewer system or equivalent. Roofs shall be designed, constructed, and maintained in a manner that prevents exposure of the waste thereunder to the elements.

(h) The applicant shall employ the services of a registered engineer to certify that the facility was constructed in accordance with all the requirements of these Rules and conditions of the permit, and with the design approved by the LHO.

(4) **Operations.** The Operation Manual for the transfer station shall contain:

(a) A statement explaining how access to the transfer station by unauthorized persons will be restricted.

(b) A statement of maximum waste handling and storage capacity as determined by the engineer.

(c) A plan for managing vegetation on and around the transfer station.

(d) The operation, management, and closure of a transfer station shall not cause a nuisance or hazard to public health or the environment, and shall be accomplished pursuant to the following requirements:

1. A transfer station shall not accept or receive solid waste containing garbage from persons other than permitted solid waste collectors or solid waste transporters unless said persons have obtained a Certificate of Exception.

2. A transfer station shall not receive or accept waste, nor shall any person deposit waste at a transfer station, if such waste is composed of or contains regulated hazardous waste, infectious waste, or explosive material or debris.

3. The operator of a transfer station shall immediately notify the LHO and other appropriate regulatory authorities when any waste, prohibited from being deposited at a transfer station by these Rules, is delivered to or discovered at the facility. The operator shall record the following information concerning such incident:

- (i) The date and time the prohibited waste was discovered.
 - (ii) The date and times the LHO and other authorities were notified.
 - (iii) The quantity of the waste.
 - (iv) The place where the waste was generated.
 - (v) The name, address, phone number, and permit number of person who deposited such waste, if known.
 - (vi) The type of waste discovered.
 - (vii) Other information concerning the incident that is known by the operator.
- (e) The storage of solid waste at the facility and the storage containers shall adhere to requirements of Rule 420-3-5-.10 of these Rules.
- (f) The transfer station shall be maintained in a sanitary manner to prevent the harborage of vermin or vectors and to prevent the creation of a public health nuisance including, but not limited to, the following:
- 1. The facility and equipment used for handling or storing solid waste containing garbage shall be cleaned regularly.
 - 2. Windblown litter and other debris shall be cleaned from around the facility on a daily basis.
 - 3. Vermin and vectors shall be controlled in a manner acceptable to the LHO and through a person licensed or certified by the Alabama Department of Agriculture and Industries to perform pest control services.
 - 4. Weeds, grass, and other vegetation shall be trimmed regularly.
 - 5. Dust, noise, and odor shall be minimized.

(g) All washdown water, leachate, waste water, and storm and surface water shall be managed and disposed of by methods as approved under the permit. Holding tanks, if used, shall be of sufficient capacity and maintained in such a manner that allows the facility to be flushed and cleaned in accordance with subparagraph (3)(a) of this Rule.

(h) The operator shall provide and maintain in good repair, access roads at the facility.

(i) Equipment at the transfer station shall at all times be sufficient to handle all solid waste received at the facility. The operator shall provide for routine maintenance and safety checks of all equipment. In the event of equipment failure, or other cause of work stoppage, the facility shall have access to back-up equipment to handle all waste effectively, or the operator shall provide for the diversion of all solid waste to other approved facilities. The operator shall notify the LHO of any significant work stoppage or other emergency as soon as possible, but no later than 24 hours after such stoppage or emergency occurs, and shall provide any information requested by the LHO pertaining to such emergency.

(j) An attendant shall be on duty at the facility during all operating hours. Said attendant shall be trained to identify prohibited waste. The training shall be accomplished by the employer or an institution offering such training, and in a manner acceptable to the Board.

(k) An operation manual shall be prepared and maintained which describes the facility's current policies, practices, and emergency procedures at the facility. It shall address all the operating requirements of this Rule. The manual shall be made available to all facility personnel.

(l) The permittee shall provide for the instruction of facility personnel, at least semi-annually, on the operating manual and on matters related to worker safety, health, and hygiene. In order to prevent the transmission of human pathogens:

1. Eating, drinking, smoking, and the use of smokeless tobacco shall be prohibited at those work stations where employees have physical contact with solid waste containing garbage. Warning signs shall be posted at prominent locations at such stations stating that eating, drinking, smoking, and the use of smokeless tobacco are prohibited in that area. Transfer station operators shall post signs in prominent locations, reminding employees to wash their hands before eating, drinking, smoking, or using smokeless tobacco.

2. The operator shall, at the start of each workshift, assure that each employee who will have physical contact with solid waste containing garbage at the transfer station is provided with and uses protective clothing or gear which will provide a barrier between the employee and solid waste containing garbage and which is acceptable to the LHO. Such clothing or gear shall be removed by the employee prior to leaving the facility at any time.

(m) The permittee shall provide sufficient fire extinguishing equipment and shall adopt fire prevention practices to the satisfaction of the local fire marshal. Arrangements shall be made with the local fire department for the provision of emergency services.

(n) Plumbing shall be properly maintained and floors shall be well drained and free of standing water.

(o) Sanitary facilities shall be maintained in good operational condition.

(p) A statement of the days and hours of operation shall be posted at the entrance of the facility, and access shall be limited to those times when authorized personnel are on duty.

(q) Operation and management shall be under the direct supervision and control of an individual qualified to operate transfer stations by training, education, or experience, including, but not limited to, the following items:

1. Knowledge of the emergency procedures of the local governing body and the local emergency management agency.

2. Knowledge of the transfer station management plan.

3. A certification or license authorizing said person to identify hazardous waste.

4. Basic knowledge of the usage of facility equipment.

5. Completion of courses or programs, as may be developed by organizations or industries that qualify an individual to manage a transfer station operation, as determined by the Board.

(r) Open burning of any type is prohibited at a transfer station.

(s) Solid waste containing garbage shall not remain at a transfer station longer than 24 hours after it is received or deposited. A permit holder may obtain approval from the LHO to retain garbage longer than 24 hours if the facility is equipped to contain garbage in a manner that will not create odors or provide harborage for vermin or vectors.

(t) Scavenging at a transfer station is prohibited.

(5) **Closure.** The transfer station permittee shall notify the LHO at least 90 days in advance of the proposed date of closure of the facility. The operator shall submit a closure plan to the LHO at the time of such notification. The permittee shall also notify all facility users at least 90 days in advance of the proposed closure date. Closure of the transfer station may not proceed until the closure plan, as submitted by the operator, has been approved by the LHO. Closure of the transfer station shall be accomplished in accordance with the following requirements:

(a) Within 24 hours of the termination of operations, the transfer station permittee shall remove, or cause to be removed, all solid waste containing garbage, debris, and residue and dispose of same at an approved handling or disposal facility. The permittee shall ensure that the

site in its entirety is left in such a manner so as to not cause a public health nuisance or the environment and in a manner that is acceptable to the LHO.

(b) After closure, access to the facility shall be restricted and signs shall be posted stating the facility is closed and gives the location of the nearest approved transfer station or disposal facility. The depositing of solid waste at a closed facility is prohibited.

(c) The applicant shall employ the services of a registered engineer to certify that the transfer station has been closed in accordance with the approved closure plan and the requirements of these Rules.

(d) Solid waste deposited at the facility after closure is the responsibility of the permittee or property owner and shall be removed to an approved solid waste handling or disposal facility.

(e) The transfer station permittee shall retain records of all activities occurring at the facility for at least 2 years. Additionally, such records shall be retained longer than 2 years if such records are under dispute. Such records shall be made available upon request to the LHO, and shall, at a minimum, include the following information:

1. The quantity of solid waste, in tons per day, delivered to and received by the facility each day.

2. The quantity of solid waste, in tons per day, moved from the transfer station each day for delivery to a handling or disposal facility.

3. The county, city, or other point of generation, date, and quantity, in tons, of each shipment of solid waste deposited at the facility, and the name and permit number of the solid waste collector or other authorized user who deposited such waste.

4. The receipts, containing waste quantities, dates, etc., from handling or disposal facilities at which each shipment of solid waste from the transfer station has been deposited.

5. The facility's sanitation and management practices, including vermin and vector control practices. Site maintenance and other items which substantiate fulfillment of the operations plan.

6. Other operational and management information as it relates to the protection of public health that the LHO may require.

(6) **Disclosure of Records.** The Board may deny, suspend, or revoke a permit for a transfer station regulated under these Rules if it finds that the owner, the operator, or any person having a significant interest in the applicant or the facility, has been convicted of any felony violations or any criminal violation of environmental laws or has been adjudicated to have committed any civil or administrative violation of environmental laws administered by the Board, or other environmental laws or rules of the state, other states, the United States, or

another country. It is the permittee's continuing duty to disclose information as required by these Rules, after the issuance of any transfer station permit.

(a) All persons who apply for a transfer station permit under these Rules shall submit, at the time of initial application or permit renewal, a disclosure statement to: Alabama Department of Public Health, General Counsel, 201 Monroe Street, Montgomery, Alabama 36130-3017. The statement shall contain the following information:

1. The full name, business address, home address, date of birth, social security number or federal employer identification number of the applicant; which shall include any directors, partners, or key employees with respect to the proposed solid waste operations; any member of a public or semi-public entity such as an authority, board, commission or council that applies for such permit and all persons or business concerns holding more than 5 percent of the equity in or debt liability of that business, unless the debt liability is held by a charter lending institution.

2. The full name and business address of any company that handles or disposes of solid, hazardous, or infectious waste in which the applicant holds a significant interest.

3. A list and explanation of any felony convictions, any criminal convictions of environmental laws, and any adjudicated civil or administrative violations of environmental laws administered by the Board or other state agencies, the state, other states, the United States, or another country against any person named in subparagraphs (a)1 or (a)2 above. All convictions and civil or administrative adjudications referenced herein, excluding those involving public corruption or violations of environmental laws, which occurred more than 7 years prior to the submission of a transfer station do not require disclosure,

4. A list and explanation of any ongoing court proceeding or any ongoing administrative enforcement action not already provided under subparagraph (a)3 of this Rule in which any person named in subparagraphs (a)1 or (a)2 above is or was a party and which concerns environmental laws administered by the Board, other state agencies, the state, other states, the United States, or another country.

5. A list of any persons outside of Alabama who have regulatory responsibilities over the applicant in connection with the handling or disposal of solid, hazardous, or infectious wastes.

6. A list and explanation of administrative consent agreements or consent decrees entered into by any person named in subparagraphs (a)1 or (a)2 above for violations of environmental laws administered by the Board or other state agencies, the state, other states, the United States, or another country.

(b) Records disclosed under this Rule shall be reviewed by the Board, in accordance with criteria approved by the Board.

(c) The Board may deny, suspend, or revoke a permit because of convictions or adjudications requiring disclosure under this Rule, based on their consideration of the nature and seriousness of the offense in question and any evidence of rehabilitation. In making this determination, the Board shall consider the following facts:

(i) The nature and responsibilities of the position that the individual held at the time of the offense, and the position that the individual will hold.

(ii) The nature and seriousness of the offense.

(iii) The date and circumstances under which the offense occurred.

(iv) The age of the individual when the offense was committed.

(v) Whether the offense was an isolated or repeat incident.

(vi) Changes in management personnel, which have occurred in the organization since the offense was committed.

(vii) Any evidence of rehabilitation.

(d) In the case of any person convicted of violating any federal or state environmental laws, the Board shall consider whether such person has made all reasonable efforts to remediate or mitigate any environmental damage caused by such violation, or has made restitution to injured parties.

(e) The Board may deny, suspend, or revoke a permit if the applicant refuses to comply with the requirements of this Rule, or if the information supplied is untrue or misleading as to the facts pertaining to any criminal or civil records reported, as required by this Rule.

(f) Records received under provisions of this Rule are to be considered confidential and are not subject to review by the general public.

Authors: Phyllis C. Mardis, Dillon Bullard

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420-3-5-.13 Performance Bonds.

Except as to imported solid waste, where evidence of the posting of performance bonds or other assurances as may be satisfactory to the local governing body is required for certain

permits, and where the applicant for said permits is a governing body, said applicant may substitute in place of a performance bond a letter of assurance to the County Board of Health. Said letter shall state that the applicant shall take such measures as to prevent an insanitary condition or public health nuisance or the environment, and shall abate any such condition or nuisance as a matter of priority to the satisfaction of the LHO or the Board.

Authors: Dillon Bullard

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

History: Adopted June 15, 1988; effective July 25, 1988. **Emergency**

Repeal and New Rule: Filed May 20, 1994; effective May 27, 1994. **Repealed and New Rule:** Filed October 20, 1994; effective October 20, 1994. **Emergency Repeal and New Rule:** Filed November 20, 1997. **Repealed and Replaced:** Filed January 21, 1998; effective February 25, 1998. **Repealed and New Rule:** Filed April 20, 2017; effective June 4, 2017.

420-3-5-.14 Permit or Certificate Denials, Suspensions, and Revocation.

(1) The Board or LHO may deny, suspend, modify, or revoke any permit or certificate issued, if the holder of the permit or certificate is found to be in violation of any of the permit or certificate conditions, fails to perform such activity in accordance with the approved plan, submitted false information, or if such activity creates a public health nuisance or is inconsistent with the Act or these Rules.

(2) The denial, suspension, or revocation of a permit or certificate shall be governed by the Alabama Administrative Procedure Act, §§41-22-1, et seq. Code of Alabama 1975.

Authors: Phyllis C. Mardis, Karen Bishop, Dillon Bullard

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

History: Adopted June 15, 1988; effective July 25, 1988. **Emergency**

Repeal and New Rule: Filed May 20, 1994; effective May 27, 1994. **Repealed and New Rule:** Filed October 20, 1994; effective October 20, 1994. **Emergency Repeal and New Rule:** Filed November 20, 1997. **Repealed and Replaced:** Filed January 21, 1998; effective February 25, 1998. **Repealed and New Rule:** Filed April 20, 2017; effective June 4, 2017.

420-3-5-.15 Transfer of Permit or Certificate Prohibited.

Permits or certificates are not transferable from one person to another; or from one site or facility to another.

Authors: Karen Bishop

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

History: Adopted June 15, 1988; effective July 25, 1988. **Emergency**

Repeal and New Rule: Filed May 20, 1994; effective May 27, 1994. **Repealed and New Rule:** Filed October 20, 1994; effective October 20, 1994. **Emergency Repeal and New Rule:** Filed November 20, 1997. **Repealed and Replaced:** Filed January 21, 1998; effective February 25, 1998. **Repealed and New Rule:** Filed April 20, 2017; effective June 4, 2017.

420-3-5-.16 Variances.

In order to avoid undue hardships and promote the effective and reasonable application and enforcement of these Rules, the Board may grant variances from requirements of these Rules in accordance with such procedures and conditions as it may prescribe. Written request for a variance to these rules shall be submitted and considered and may be granted pursuant to Rule 420-1-2-.09 Ala. Admin. Code.

Any person may submit a request in writing to extend a prior or existing variance. The person shall demonstrate need for continuation of the variance.

Author: Phyllis C. Mardis, Karen Bishop, Dillon Bullard

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

History: Adopted June 15, 1988; effective July 25, 1988. **Emergency Repeal and New Rule:** Filed May 20, 1994; effective May 27, 1994. **Repealed and New Rule:** Filed October 20, 1994; effective October 20, 1994. **Emergency Repeal and New Rule:** Filed November 20, 1997.

Repealed and Replaced: Filed January 21, 1998; effective February 25, 1998. **Repealed and New Rule:** Filed April 20, 2017; effective June 4, 2017.

420-3-5-.17 Penalty of Violation.

Any person who violates the provisions of these Rules, shall, upon conviction be guilty of a misdemeanor and fined in accordance with Section 22-27-7 of the Code of Alabama, 1975.

Authors: Phyllis C. Mardis, Dillon Bullard

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

History: Adopted June 15, 1988; effective July 25, 1988. **Emergency Repeal and New Rule:** Filed May 20, 1994; effective May 27, 1994. **Repealed and New Rule:** Filed October 20, 1994; effective October 20, 1994. **Emergency Repeal and New Rule:** Filed November 20, 1997.

Repealed and Replaced: Filed January 21, 1998; effective February 25, 1998. **Repealed and New Rule:** Filed April 20, 2017; effective June 4, 2017.

420-3-5-.18 Appeals.

Contested case hearings shall be provided in accordance with the Alabama Administrative Procedure Act, §§41-22-1, et seq. Code of Alabama 1975 and the Board's Contested Case Hearing Rules, Chapter 420-1-3 Ala. Admin. Code.

Authors: Phyllis C. Mardis, Karen Bishop, Dillon Bullard

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

History: Adopted June 15, 1988; effective July 25, 1988. **Emergency Repeal and New Rule:** Filed May 20, 1994; effective May 27, 1994. **Repealed and New Rule:** Filed October 20, 1994; effective October 20, 1994. **Emergency Repeal and New Rule:** Filed November 20, 1997.

Repealed and Replaced: Filed January 21, 1998; effective February 25, 1998. **Repealed and New Rule:** Filed April 20, 2017; effective June 4, 2017.

420-3-6-.19 Severability.

The Rules, paragraphs, parts, items and provisions of this Chapter are severable. Should any portion thereof be ruled unconstitutional or unenforceable by any court, the said Rules shall not affect any other provision of this Chapter not ruled upon.

Authors: Kimberly R. Rice, John-Paul O'Driscoll

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

History: Adopted June 15, 1988; effective July 25, 1988. **Emergency Repeal and New Rule:** Filed May 20, 1994; effective May 27, 1994. **Repealed and New Rule:** Filed October 20, 1994; effective October 20, 1994. **Emergency Repeal and New Rule:** Filed November 20, 1997.

Repealed and Replaced: Filed January 21, 1998; effective February 25, 1998. **Repealed and New Rule:** Filed April 20, 2017; effective June 4, 2017.

420-3-5-.20 Repealer.

All rules promulgated and adopted by the Board, which are in conflict with the Chapter or any provisions thereof are hereby expressly repealed.

Authors: Dillon Bullard

Statutory Authority: Code of Ala. 1975, §§22-2-2(6), 22-10-1 et seq., 22-27-1 et seq.

History: Adopted June 15, 1988; effective July 25, 1988. **Emergency Repeal and New Rule:** Filed May 20, 1994; effective May 27, 1994. **Repealed and New Rule:** Filed October 20, 1994; effective October 20, 1994. **Emergency Repeal and New Rule:** Filed November 20, 1997.

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