#### Alabama Healthcare-associated Infections Reporting and Prevention Program Module 3: CLABSI, CAUTI, and SSI NHSN Review Training

Satellite Conference and Live Webcast Thursday, August 19, 2010 1:00 - 3:00 p.m. Central Time

Produced by the Alabama Department of Public Health Video Communications and Distance Learning Division

#### **Faculty**

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**Alabama Department of Public Health** 



#### **Objectives**

- At the end of this module the learner will be able to:
  - Identify and define the required National Healthcare Associated Infection Targets to be reported by healthcare facilities in Alabama
    - CLABSI
    - CAUTI
    - SSI

#### **Objectives**

- Discuss collection of denominator and numerator data employed by NHSN mentors
  - NHSN forms
  - Hospital facility specific data collection plan strategies
  - Provide examples of data collection tools and plans

#### **Objectives**

- Discuss entry of denominator and numerator data into NHSN
- Discuss the Implications of the Centers for Medicare & Medicaid
- Services (CMS) HAI reporting mandates for January 2011

#### **CLABSI Definition**

 A Central Line Blood Stream Infection is a primary BSI in a patient that had a Central Line within the 48 hour period before the development of the BSI

#### What is a Central Line?

 An intravascular catheter that terminates at or close to the heart or in one of the great vessels which is used for infusion, withdrawal of blood, or hemodynamic monitoring



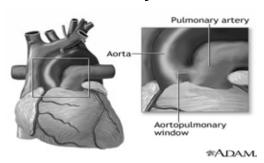
## The Great Vessels as Noted by NHSN

- Aorta
- Superior Vena Cava
- Pulmonary Artery
- Brachiocephalic Veins
- Internal Jugular Veins
- Subclavian Veins

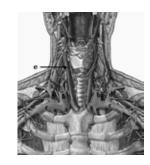
## The Great Vessels as Noted by NHSN

- Inferior Vena Cava
- External Iliac Veins
- Common Femoral Veins

# The Great Vessels as Noted by NHSN

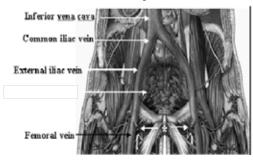


## The Great Vessels as Noted by NHSN



- a. Brachiocephalic vein
- b. Internal jugular vein
- c. Subclavian vein

## The Great Vessels as Noted by NHSN



#### NHSN Location Types Where CLABSI Events Can be Monitored

- 1. Intensive Care Unit (ICU)
- 2. Specialty Care Area (SCA)
  - a) Hematology/Oncology Unit
  - b) Bone Marrow/Stem Cell Transplant Unit
  - c) Solid Organ Transplant Unit

#### NHSN Location Types Where CLABSI Events Can be Monitored

- d) Acute Inpatient Dialysis Unit
- e) Long-Term Acute Care
- f) Neonatal Intensive Care Unit
- g) Any other inpatient care location in which central line days and patient days can be collected
  - Surgical ward

# Alabama Location Types Where CLABSI Events Will be Monitored

- Central Line-associated Bloodstream Infections (CLABSI) from the following critical care units within a healthcare facility
  - 1. Medical Critical Care Units
  - 2. Surgical Critical Care Units

# Alabama Location Types Where CLABSI Events Will be Monitored

- 3. Medical/Surgical Critical Care Units
- 4. Pediatric Critical Care Units

# Alabama Location Types Where CLABSI Events Will be Monitored

 "Critical Care Unit" means a care area that provides intensive observation, diagnosis, and therapeutic procedures for adults or children or both who are critically ill

# Alabama Location Types Where CLABSI Events Will be Monitored

 Care areas that provide step-down, intermediate care, or telemetry only, and specialty care areas are excluded

## Steps to Determining if a Patient has a CLABSI

□1a. Did the patient have a Central
Line or umbilical catheter at the time
of or within 48 hours before the
onset of the event?

□1b. Does the patient's S/S meet criterion 1, 2, or 3 of the NHSN CLABSI protocol?

## To Which Location Should the CLABSI be Attributed?

□2a. Where was the patient located on the date the first clinical evidence appeared or the date the specimen used to meet the BSI criteria was collected, whichever came first?

## To Which Location Should the CLABSI be Attributed?

□2b. Is this location different from the client's present location?

If yes, proceed to 2c. If no, skip to 2e.

## To Which Location Should the CLABSI be Attributed?

□2c. Was the patient transferred with the CL/UC or after the CL/UC was removed, to the present location in the same facility within 48 hours?

If yes, the transferring unit will be attributed with the CLABSI proceed to 2e. If no, proceed to 2d.

## To Which Location Should the CLABSI be Attributed?

□2d. Was the patient transferred with the CL/UC or after a CL/UC was removed to the present location from an external facility within 48 hours?

If yes, the transferring hospital should report the CLABSI; if a healthcare facility in AL, the transferring facility should proceed to 2e if no, STOP\*\*

## To Which Location Should the CLABSI be Attributed?

□2e. Is the location noted in items 2a, 2c or 2d, a \*critical care unit?

If yes, this data is required for CLABSI reporting by ADPH.

\*\*If no, not required to report for ADPH.

#### **Key Points for CLABSI**

- All criterion require that the signs or symptoms are not related to an infection in another part of the body
- Criterion 1 and 2 both require positive culture results
  - Positive for recognized pathogen for criterion 1 versus common skin contaminant as with criterion 2

#### **KEY Points for CLABSI**

- Criterion 2 requires positive culture and symptoms
  - Blood cultures should be drawn using acceptable techniques
- Criterion 3 only for patient's < 1 year of age

#### Reference

Common skin contaminants:
 diphtheroids [Corynebacterium spp.],
 Bacillus [not B. anthracis] spp.,
 Propionibacterium spp., coagulase-negative staphylococci [including S. epidermidis], viridans group streptococci, Aerococcus spp.,
 Micrococcus spp.

#### Reference

- Recognized pathogens: S. aureus, Enterococous spp., E. coli,
   Pseudomonas spp., Kebsiella spp.,
   Candida spp., etc.
- Refer to Patient Protocol Manual Section 4



#### **CAUTI Definition**

 A catheter-associated urinary tract infection is a UTI that occurs in a patient who had an indwelling urethral urinary catheter in place within the \_\_\_\_\_ period before the onset of the UTI

## What is a Urethral Urinary Catheter?

- An indwelling catheter is a drainage tube that is inserted into the urinary bladder through the urethra, is left in place, and is connected to a closed collection system
  - Also called a Foley catheter

## What is a Urethral Urinary Catheter?



# NHSN Location Types Where CAUTI Events Can Be Monitored

- 1. Intensive Care Unit (ICU)
- 2. Specialty Care Area (SCA)
  - a) Hematology/Oncology Unit
  - b) Bone Marrow/Stem Cell Transplant Unit
  - c) Solid Organ Transplant Unit

#### NHSN Location Types Where CAUTI Events Can Be Monitored

- d) Acute Inpatient Dialysis Unit
- e) Long-Term Acute Care
- f) Neonatal Intensive Care Unit
- 3. Any other inpatient care location in which catheter days and patient days can be collected
  - Surgical ward

# Alabama Location Types Where CAUTI Events Will be Monitored

- Catheter-associated Urinary Tract Infections (CAUTI) from the following general care wards within a healthcare facility
  - 1. General Medical Wards
  - 2. General Surgical Wards
  - 3. General Medical/Surgical Wards

# Alabama Location Types Where CAUTI Events Will be Monitored

 "General Care Ward" means a multidisciplinary care area that provides moderate observation, diagnosis, and therapeutic procedures for adults or children or both who are ill

## Steps to Determining if a Patient Has a CAUTI

□1a. Did the patient have an urinary catheter in place at the time of the specimen collection or was an urinary catheter removed within 48 hours prior to the specimen collection?

## Steps to Determining if a Patient Has a CAUTI

□1b. Does the patient's S/S meet criterion 1a, 2a, or the ABUTI criterion of the NHSN CAUTI protocol?

## To Which Location Should the CAUTI be Attributed?

 The location where the patient was assigned on the date of the UTI event, which is further defined as the date when the first clinical evidence appeared or the date the specimen used to meet the criterion was collected, whichever came first

#### **Key Points About CAUTI**

- The criteria numbered 1 and 3 have a urinary culture positive for ≥ 10<sup>5</sup>
   CFU/mI
  - -The criteria numbered 2 and 4 have a urinary culture positive for ≥ 10<sup>3</sup> and <10<sup>5</sup> CFU of organism/ml
  - Because of this lower colony count, supportive urinalysis is required

#### **Key Points About CAUTI**

- S/S differ if a catheter is in place versus removed
  - Example: A patient will not have difficulty voiding if catheter in place
- There are age parameters for each of the criteria
  - Any age patient can meet criteria 1 but only children ≤ 1 year of age can meet criteria 3 or 4

#### **Key Points About CAUTI**

- Also, the urine cultures can have no more than 2 microorganisms present
- Criteria 3 and 4 are for children 1 year of age or less and may or may not be associated with a catheter
- The ADPH Algorithms for CAUTI are age specific
  - There are two, one for >1 years of age, and one for <1 year old</li>



CAUTI EVENT. ADPH REPORTING ALGORITHM FOR PATIENT'S =1 \( \times \) 1. Does the INFANT have an infection?

The patient has an { | Indewtling urinary eatheter in place at the time of specimen collection or had an indewtling urinary eatheter removed within 48 hours prior to specimen collection; And at least one of the following 50; [ | Fever (= 36^\*\*, 0.04^\*F); [ ] Hypothermin (\* 36^\*\*, 0

#### **SSI Definitions**

- A Surgical Site Infection occurs following an operation as listed in table 1 (pg. 9-2 of protocol)
- The operation may include inpatient or outpatient procedures



#### **SSI Definitions**

 An operation is defined as a single trip to the operating room (OR) where a surgeon makes at least one incision through the skin or mucous membrane, including laparoscopic approach, and closes the incision before the patient leaves the OR

#### What is an Operation?

- A Surgical Site Infection occurs following an operation as listed in table 1
- The operation may include inpatient or outpatient procedures



#### What is an Operation?

 An operation is defined as a single trip to the operating room (OR) where a surgeon makes at least one incision through the skin or mucous membrane, including laparoscopic approach, and closes the incision before the patient leaves the OR

## Alabama Surgery Types to be Monitored for SSIs

- Will be monitored
  - -Colon surgery (COLO)
    - Incision, resection, or anastomosis of the large intestine
    - Includes large-to-small and small-to-large bowel anastomosis

## Alabama Surgery Types to be Monitored for SSIs

- Does not include rectal operations
- ICD-9 codes: 17.31-17.36, 17.39, 45.03, 45.26, 45.41, 45.49, 45.52, 45.71- 45.76, 45.79, 45.81- 45.83, 45.92- 45.95, 46.03, 46.04, 46.10 46.11, 46.13, 46.14, 46.43, 46.52, 46.75, 46.76, 46.94

## Alabama Surgery Types to be Monitored for SSIs

- Abdominal Hysterectomy
  - Removal of uterus through an abdominal incision
  - ICD-9 codes: 68.31, 68.39, 68.41, 68.49, 68.61, 68.69

#### NHSN Location Types Where SSI Events Can Be Monitored

 Surveillance will occur with surgical patients in any inpatient/outpatient setting where the selected NHSN operative procedure(s) are performed

## Steps to Determining if a Patient has a SSI

- □1a. Did the patient have an infection within 30 days after the surgery if no implant in place or within one year if implant is in place?
- □1b. Does the infection appear to be related to the operative procedure?

## Steps to Determining if a Patient has a SSI

□1c. Does the patient's S/S meet criterion for a Superficial incisional infection (primary or secondary), Deep incisional infection (primary or secondary), Organ/space SSI infection, or some combination of these?

## Steps to Determining if a Patient has a SSI

□1d. How is the wound classified?Clean, clean contaminated,contaminated, dirty/infected

# 1. Come the posterior have an infection? The Patenth has [ ] are infection? The Patenth has [ ] are infection? The Patenth has [ ] are infection? AND The patenth continues of the other or Ab. Bysterectorry. AND The patenth continues of the other or Ab. Superficial feetback SSII is accommon to the patenth continues in contract to the superficial feetback SSII is accommon to the patenth of the other or Ab. Superficial feetback SSII is accommon to the patenth of the other or the superficial instance, the contract to the patenth has at least or a magnificially of the primary or the contract or the superficial instance, or [ ] represents ins

## To Which Location Should the SSI Attributed?

- Do you attribute a SSI to a specific location?
  - -Yes or No
- Can you monitor SSIs per Surgeon?
  - -Yes or No

#### **Key Points Related to SSIs**

- · Do not include a stitch abscess
- Do not include a localized stab wound infection as SSI
- "Cellulitis" alone does not meet criteria for SSI
- If infection includes both superficial and deep incision sites, classify as deep incisional

#### **Key Points Related to SSIs**

- Circumcision or infected burn not included as SSI
- Colonization's (presence of microorganisms on skin, mucous membranes, in open wounds, or in excretions or secretions, but are not causing adverse clinical S/S) are not an infection

#### **Key Points Related to SSIs**

 Inflammation that results from tissue response to injury or stimulation of noninfectious agents such as chemicals are not considered an infection

#### Numerator and Denominator Data



NO SHERMAN. The denominator was not sent from the future to find Sarah Conner.

#### **Denominators for CLABSI**

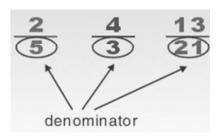
- Device days and patient days are used for denominators
- Device-day denominator data that are collected differ according to the location of the patients being monitored

#### **Denominators for CLABSI**

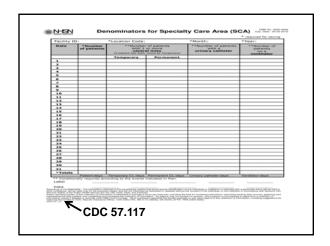
• For ICUs and locations other than specialty care areas (SCAs) and NICUs, the number of patients with one or more central lines of any type is collected daily, at the same time each day, during the month and recorded on the Denominators for Intensive Care Unit (ICU)/Other Locations (Not NICU or Specialty Care Area (SCA)) (CDC 57.118).

#### **Denominators for CLABSI**

 Only the totals for the month are entered into NHSN

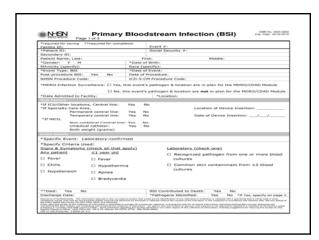


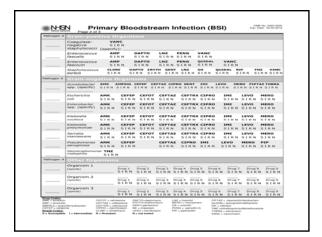
**formber of patients with # urinary Catheter	**feumber of patient on a ventilator
Urinary catheter-days	Ventiletor-days
	Words called days



#### **Numerators for CLABSI**

Numerator Data is the Primary
 Bloodstream Infection (BSI) form
 (CDC 57.108) is used to collect and
 report each CLABSI that is identified
 during the month selected for
 surveillance





#### **Denominators for CAUTI**

 Device days and patient days are used for denominators

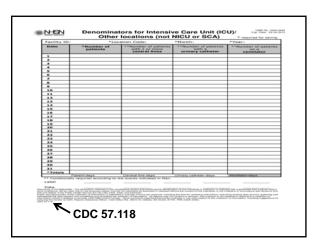
#### **Denominators for CAUTI**

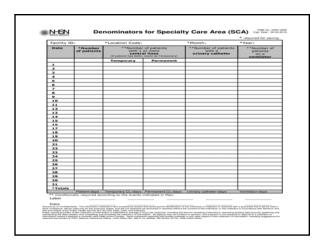
 Indwelling urinary catheter days, which are the number of patients with an indwelling urinary catheter device, are collected daily, at the same time each day, according to the chosen location using the appropriate form (CDC 57.116, March, 2009 7-3 Device-associated Events CAUTI 57.117, and 57.118)

#### **Denominators for CAUTI**

- These daily counts are summed and only the total for the month is entered into NHSN
- Indwelling urinary catheter days and patient days are collected separately for each of the locations monitored

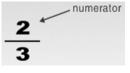




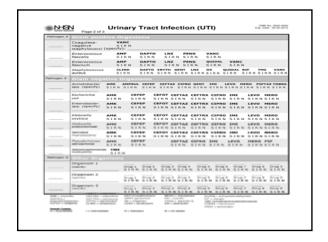


#### **Numerators for CAUTI**

 Numerator Data is the Urinary Tract Infection (UTI) Form (CDC 57.114) is used to collect and report each CAUTI that is identified during the month selected for surveillance

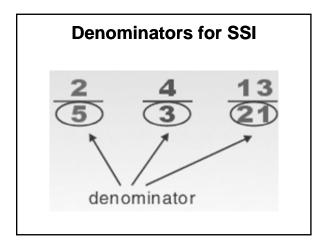


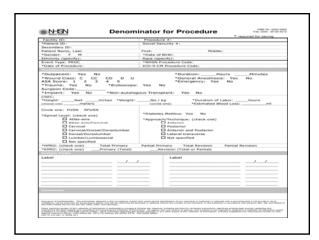




#### **Denominators for SSI**

• For all patients having a procedure selected for surveillance during the month(Colon and Abdominal Hysterectomies), complete the Denominator for Procedure form (CDC 57.121). The data are collected individually for each operative procedure performed during the month specified on the Patient Safety Monthly Surveillance Plan (CDC 57.106)



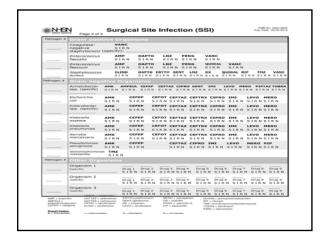




 Numerator Data: The Surgical Site Infection (SSI) Form (CDC 57.120) is used to collect and report each SSI that is identified during the month selected for surveillance







#### **Data Entry**

## General Information About Data Entry

- Data entered into NHSN is available to both CDC and to the facility as soon as it is saved
  - -No "transmission"
- Data can be edited after it is saved
  - Exceptions Patient ID and Linked records

## General Information About Data Entry

- · Records can be deleted
  - Reference: Andrus, M. (2006),
     Monthly reporting plan data entry linking other features. Retrieved at http://www.cdc.gov/nhsn/wc\_dataEnt ery\_imprt\_cost.html#3

#### **Data Entered in NHSN**

- · Patient demographics
- Denominators
  - -Summary data (device-associated)
  - Denominators for procedures
- Events
  - -CLABSI, VAP, SSI, etc.
- Custom data

#### Requirements for Data Fields

- Required
  - Must be completed on every data field
  - A red asterisk (\*) appears next to the field label

## Requirements for Data Fields

- · Conditionally required
  - When the requirement depends on one of these conditions
  - -Response given in another field
  - Events identified in your MonthlyReporting Plan

#### Requirements for Data Fields

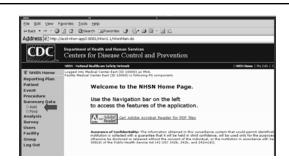
- Optional
  - NHSN does not require the data and the information will not be used
    - e.g., surgeon code

## **Entering Denominator** and Numerator Data

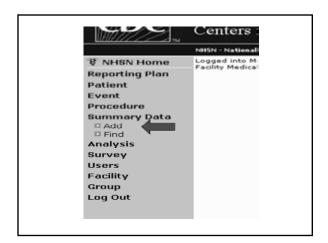
- Entering Denominators for Device-Associated Events
- Adding summary data
- · Finding summary data
- Editing/deleting summary data

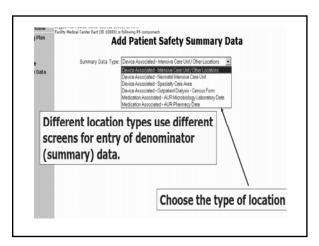
#### Device-associated Denominators

- Patient days
- Device days by type of unit

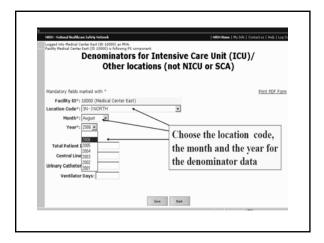


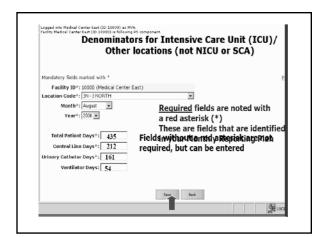
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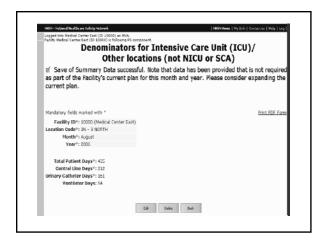


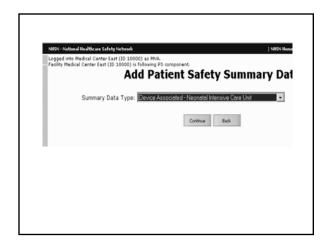


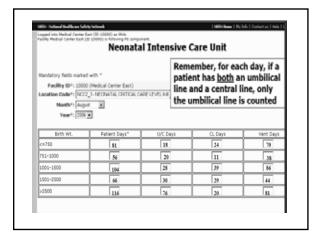


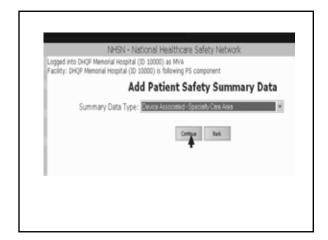


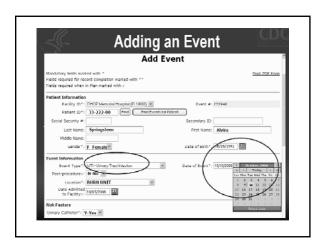


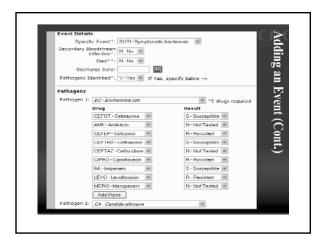


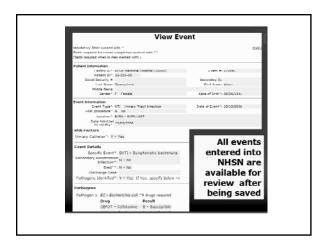


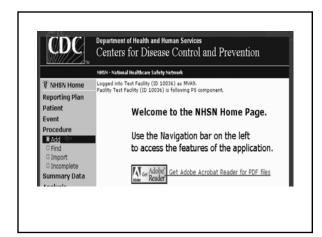


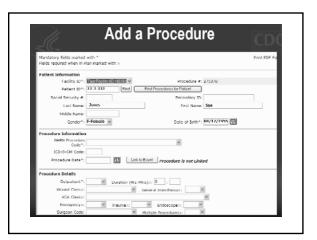


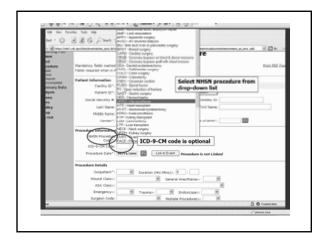


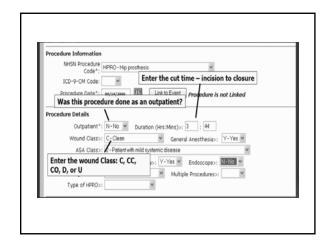


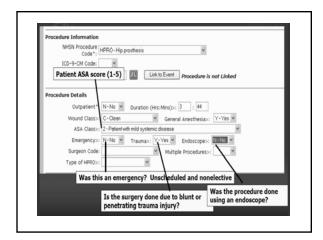


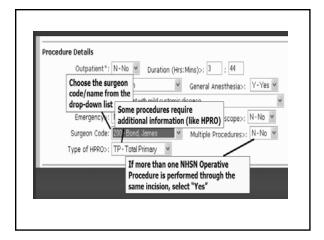












## Linking an Event to a Procedure

- The procedure must be entered in the system before an event can be linked to it
- When an event is linked to a procedure, the data from the procedure will be automatically associated with the event

## Linking an Event to a Procedure

 Used primarily with SSI and PPP, but can be used with Device-Associated events also

